



Filing ID #10021093

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Kenneth Harbaugh  
**Status:** Congressional Candidate  
**State/District:** OH07

## FILING INFORMATION

**Filing Type:** Candidate Report  
**Filing Year:** 2018  
**Filing Date:** 07/20/2018  
**Period Covered:** 01/01/2017– 06/30/2018

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset            | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|--|-------|---------------------------|----------------|-------------------------------|-----------------------|
| 81 Belmont St. [RP]<br><br>LOCATION: Hamden, CT, US<br>DESCRIPTION: Rental home in Hamden, CT                              |       | \$100,001 - \$250,000     | Rent           | \$15,001 - \$50,000           | \$15,001 - \$50,000   |
| Harbaugh Enterprises, LP [OL]<br><br>LOCATION: Pipe Creek, TX, US<br>DESCRIPTION: Ranching business in Pipe Creek, TX      |       | \$1,000,001 - \$5,000,000 | None           |                               |                       |
| Harbaugh Family Irrevocable Trust ⇒ Vanguard 529 College Savings Plan ⇒ Vanguard Inflation-Protected Securities Fund [MF]  |       | \$1,001 - \$15,000        | Tax-Deferred   |                               |                       |
| Harbaugh Family Irrevocable Trust ⇒ Vanguard 529 College Savings Plan ⇒ Vanguard Total International Stock Index Fund [MF] |       | \$1,001 - \$15,000        | Tax-Deferred   |                               |                       |
| Harbaugh Family Irrevocable Trust ⇒ Vanguard 529 College Savings Plan ⇒ Vanguard Total Stock Market Index Fund [MF]        |       | \$15,001 - \$50,000       | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset       | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|----------------------|----------------|-------------------------------|-----------------------|
| McKinsey 401K ⇒<br>McKinsey & Company Retirement Program [OT]<br><br>DESCRIPTION: Retirement Plan |       | \$50,001 - \$100,000 | Tax-Deferred   |                               |                       |
| Team Rubicon 401K ⇒<br>Black Rock Global Allocation, R [MF]                                       |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Team Rubicon 401K ⇒<br>iShares S&P 500 Index A [MF]   |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| TIAA-CREF ROTH IRA ⇒<br>CREF Global Equities Account Class R1 [MF]                                | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| TIAA-CREF ROTH IRA ⇒<br>CREF Growth Account Class R1 [MF]   | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| TIAA-CREF ROTH IRA ⇒<br>CREF Stock Account Class R1 [MF]  | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| USAA Bank Accounts [BA]   | JT    | \$1,001 - \$15,000   | Interest       | \$1 - \$200                   | \$1 - \$200           |
| USAA Roth IRA ⇒<br>USAA International Fund [MF]   |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| USAA Roth IRA ⇒<br>USAA International Fund [MF]   | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| USAA Roth IRA ⇒<br>USAA S&P 500 Index Fund [MF]   | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| USAA Roth IRA ⇒<br>USAA Science & Technology Fund [MF]  |       | \$15,001 - \$50,000  | Tax-Deferred   |                               |                       |
| USAA Roth IRA ⇒<br>USAA Target Retirement 2050 Fund [MF]  | SP    | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Voya Universal Life Insurance Policy [WU]   |       | \$1,001 - \$15,000   | None           |                               |                       |
| Voya Variable Universal Life ⇒<br>Fidelity Contrafund Portfolio [MF]                              |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |
| Voya Variable Universal Life ⇒<br>Voya Large Cap Growth Portfolio - Class I [MF]                  |       | \$1,001 - \$15,000   | Tax-Deferred   |                               |                       |

| Asset   | Owner | Value of Asset     | Income Type(s) | Income Current Year to Filing | Income Preceding Year |
|---|-------|--------------------|----------------|-------------------------------|-----------------------|
| Voya Variable Universal Life ⇒<br>Voya Russell Large Cap Growth Index Portfolio -<br>Class I [MF] |       | \$1,001 - \$15,000 | Tax-Deferred   |                               |                       |

\* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

## SCHEDULE C: EARNED INCOME

| Source                                 | Type                      | Amount Current Year to Filing | Amount Preceding Year |
|--|---------------------------|-------------------------------|-----------------------|
| Team Rubicon Global                    | Salary                    | \$3,000.00                    | \$51,802.00           |
| Ken Harbaugh for Congress              | Spouse Salary             | N/A                           | N/A                   |
| KH Ehlers LLC                          | Compensation              | \$3,000.00                    | \$6,000.00            |
| GLG                                    | Consulting                | N/A                           | \$353.00              |
| Team Rubicon Global                    | Non-Employee Compensation | N/A                           | \$30,312.00           |
| Ken Harbaugh for Congress              | Salary                    | \$3,000.00                    | \$8,750.00            |
| Yale Career Options Assistance Program | Student Loan Forgiveness  | \$7,326.00                    | N/A                   |

## SCHEDULE D: LIABILITIES

| Owner | Creditor           | Date Incurred | Type                                   | Amount of Liability   |
|-------|--------------------|---------------|--|-----------------------|
|       | Access Group, Inc. | April 2012    | Student Loans                          | \$50,001 - \$100,000  |
| JT    | Ditech             | May 2005      | Mortgage on Hamden, CT Rental Property | \$100,001 - \$250,000 |

## SCHEDULE E: POSITIONS

| Position                            | Name of Organization      |
|-------------------------------------|---------------------------|
| President and COO                   | Team Rubicon Global, Ltd. |
| COMMENTS: December 2015 - June 2017 |                           |
| Manager                             | KH Ehlers LLC             |

## SCHEDULE F: AGREEMENTS

None disclosed.

## SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

## SCHEDULE A ASSET CLASS DETAILS

- Harbaugh Family Irrevocable Trust
- Harbaugh Family Irrevocable Trust ⇒ Vanguard 529 College Savings Plan  
LOCATION: NV
- McKinsey 401K
- Team Rubicon 401K
- TIAA-CREF ROTH IRA (Owner: SP)
- USAA Roth IRA
- USAA Roth IRA (Owner: SP)
- Voya Variable Universal Life

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Kenneth Harbaugh , 07/20/2018